



TO: Evelyn M. Kappeler, Director, Office of Adolescent Health

FROM: Amy Margolis, Director, Division of Program Development and Operations,
Office of Adolescent Health

DATE: May 18, 2017

SUBJECT: Fiscal Year 2017 Funding to Support OAH Continuation Grants - **DECISION**

ISSUE

This is to request your approval for the Fiscal Year 2017 funding to support OAH's continuation grants, including 89 Teen Pregnancy Prevention Program grantees, one Secretary's Minority AIDS Initiative grantee, and three Pregnancy Assistance Fund (PAF) grantees.

BACKGROUND

Teen Pregnancy Prevention (TPP) Program

In FY15, OAH awarded funding through the TPP Program to a total of 84 grantees for a five-year project period - 58 grantees received a total of \$65,338,182 to replicate evidence-based programs (Tier 1) and 23 grantees received \$21,158,461 to develop and test new and innovative approaches to prevent teen pregnancy (Tier 2). More specifically, within Tier 1, eight grantees received funding for *Capacity Building to Support Replication of Evidence-Based TPP Programs (Tier 1A)* and 50 grantees received funding for *Replicating Evidence-Based TPP Programs to Scale in Communities with the Greatest Need (Tier 1B)*. Within Tier 2, two grantees received funding for *Supporting and Enabling Early Innovation to Advance Adolescent Health and Prevent Teen Pregnancy (Tier 2A)* and 21 received funding to support *Rigorous Evaluation of New or Innovative Approaches to Prevent Teen Pregnancy (Tier 2B)*. An additional five TPP National Capacity Building Assistance grantees were funded in FY16 for a five-year project period.

FY17 funds will be used to fund the third year of the five-year project period for the initial 84 TPP grantees and the second year of the five-year project period for the 5 TPP National Capacity Building Assistance grantees. Continuation grant awards will be made using a combination of funding from FY17 and by offsetting grant awards by the total amount of funding that remained unobligated by grantees from Year One of the five-year project period. A total of 19 Tier 1 grantees and 3 Tier 2 grantees had unobligated funds remaining from Year One that will be offset in their FY17 grant award.

In addition, OAH received unsolicited requests for additional funding from four TPP Tier 2 grantees¹ in the amount of \$237,000 - \$250,000 each (less than 25% of their annual grant award) to address challenges encountered in recruiting and retaining youth in their evaluation study, or to fully support the early innovations funded through their grant. After reviewing all 4 requests, the OAH Division of Program Development and Operations and the Senior Evaluation Specialist, believe that all four have merit and would be beneficial to help improve the overall quality of the grant programs and ensure that the early innovations and evaluations OAH has invested in through these grants are successful and able to meet the HHS evidence review standards. As a result of the grantee offsets, OAH has \$621,674 available to support these unsolicited requests and recommends dividing the amount evenly across the four grantees, providing each with an additional \$155,418.50 in one-time funds to support their unsolicited request.

A summary of the total amount of FY17 funding, total Year One offsets, and total FY17 grant awards is included below. The detailed account of funding for each individual grantee by grant program is included in the enclosed FY17 Control Table.

Grant Program	# Grantees	Total FY17 Funds	Total Offset	Total FY17 Award
TPP Tier 1A	8	\$5,762,326.97	\$11,925.03	\$5,774,252.00
TPP Tier 1B	50	\$55,936,066.71	\$3,627,863.29	\$59,563,930.00
TPP Tier 2A	2	\$3,155,406.50	\$0	\$3,155,406.50
TPP Tier 2B	21	\$18,058,054.04	\$566,674.46	\$18,624,728.50
TPP National CBA Providers	5	\$2,900,000.00	\$0	\$2,900,000.00

Secretary's Minority AIDS Initiative (MAI)

In FY15, OAH awarded a total of \$350,000 in funding from the Secretary's Minority AIDS Initiative to one grant for a three-year project period for the National Resource Center for HIV Prevention among Adolescents. FY17 funds will be used to provide continuation funding for the third and final year of this grant.

Pregnancy Assistance Fund (PAF) Program

In FY15, OAH awarded a total of \$1,656,558 to three PAF grantees for a five year project period. FY17 funds will be used to provide continuation funding for the third year of the five-year project period for these three PAF grantees.

¹ Unsolicited requests for funding were received from TP2AH000024 – Texas A&M University; TP2AH000025 – Cicatelli Associates, Inc; TP2AH000026 – Planned Parenthood of the Great Northwest and Hawaiian Islands (LiFT); and TP2AH000030 – Planned Parenthood of the Great Northwest and Hawaiian Islands (InCLUDE).

RECOMMENDATION

I recommend you approve this request for Fiscal Year 2017 funding to support OAH's continuation grants, including 89 Teen Pregnancy Prevention (TPP) Program grantees, one Secretary's Minority AIDS Initiative grantee, and three Pregnancy Assistance Fund grantees.

DECISION

Approved ✓ Disapproved _____ Need More Information _____

Evelyn M. Kappeler 5/18/17
Evelyn M. Kappeler

Attachments:

TAB A – FY17 Control Table for TPP, MAI, and PAF Continuation Grantees
TAB B – Standard Terms and Requirements for all continuation Notices of Award
TAB C – Special Terms and Conditions for Individual Grant Awards

From: [Kappeler, Evelyn \(HHS/OASH\)](#)
To: [Bembo, Dinah \(HHS/OASH\)](#); [Wright, Don \(HHS/OASH\)](#); [Downs, Tanette \(HHS/OASH\)](#); [Valentine, Steven \(HHS/IOS\)](#)
Cc: [Blakey, Carter R \(HHS/OASH\)](#); [Arzu, Merlin \(OS/OASH\)](#)
Subject: Re: OAH Grants
Date: Sunday, May 28, 2017 2:16:46 PM

Hi Dinah,

Thursday at 11:00 can work for me.

Thanks!

Evelyn

On: 25 May 2017 16:15, "Bembo, Dinah (HHS/OASH)" <Dinah.Bembo@hhs.gov> wrote:

Good Afternoon All,

I hope all is well today!

Would everyone be available on Thursday, June 1st at 11am?

Thanks,

Dinah Bembo
Senior Executive Assistant to the
Assistant Secretary for Health
202-690-7694

From: Wright, Don (HHS/OASH)
Sent: Thursday, May 25, 2017 3:19 PM
To: Downs, Tanette (HHS/OASH); Kappeler, Evelyn (HHS/OASH); Valentine, Steven (HHS/IOS)
Cc: Bembo, Dinah (HHS/OASH); Blakey, Carter R (HHS/OASH)
Subject: RE: OAH Grants

Sounds great. Let's set something up for next Thursday.

From: Downs, Tanette (HHS/OASH)
Sent: Thursday, May 25, 2017 2:22 PM
To: Wright, Don (HHS/OASH); Kappeler, Evelyn (HHS/OASH); Valentine, Steven (HHS/IOS)
Cc: Bembo, Dinah (HHS/OASH); Blakey, Carter R (HHS/OASH)
Subject: RE: OAH Grants

Hi Dr. Wright,

Informational memos for you regarding the pending OAH grants were placed in the interoffice mail

today. OAH plans on sending the grant information over to OGM tomorrow morning, and award dates are not expected until July 1.

Evelyn will be back in the office on Tuesday. OAH will work with Dinah to schedule time to brief you on the pending awards.

Tanette

From: Wright, Don (HHS/OASH)
Sent: Thursday, May 25, 2017 11:36 AM
To: Kappeler, Evelyn (HHS/OASH); Downs, Tanette (HHS/OASH); Valentine, Steven (HHS/IOS)
Cc: Bembo, Dinah (HHS/OASH); Blakey, Carter R (HHS/OASH)
Subject: OAH Grants

Evelyn,

I noticed in the Week ahead the notation of pending OAH grants. I need to be briefed on these grants and share with Policy Team prior to awarding.

Don Wright MD MPH
Acting Assistant Secretary for Health
Deputy Assistant Secretary for Health
Director: Office of Disease Prevention and Health Promotion
1101 Wootton Parkway Suite LL 100
Rockville, MD 20852
(202) 205-2311

From: Kappeler, Evelyn (HHS/OASH)
To: [Kappeler, Evelyn \(HHS/OASH\)](#)
Subject: Note to the File - July 21
Date: Wednesday, June 21, 2017 4:06:00 PM
Attachments: [image002.png](#)
[image003.png](#)

Note to File:

At 3:00 pm today I had a phone conversation with Dr. Wright, Steven Valentine and Carter Blakely regarding the status of the pending OAH grant continuation and new competitive awards. Carter did not speak during the meeting.

Dr. Wright opened the conversation with acknowledging that OAH has a large portfolio and there is great curiosity about the policy team input for our July 1 grant starts. He noted that Steven has taken the lead in the discussions with the policy team and the direction to be taken.

Steven thanked me for my patience with the process and the feedback we provided to the policy team. He described the current situation – where things were going – and noted that no final determinations had yet been made.

(b) (5)

(b) (5)

(b) (5)

(b) (5)

I asked about messaging this information to the public and staff. Dr. Wright noted that this is still unsettled ground and directed that I not share any information with anyone until they know what the policy team actually wants to do. Steven mentioned that a communication plan would be developed with the policy team. Dr. Wright indicated he hoped to have everything wrapped up by the end of the week. I reminded him that I will be on leave starting Wednesday of next week and that I would at some point need to debrief with my deputy so she is aware of the landscape and the issues.

Evelyn

Evelyn Kappeler
Director
HHS Office of Adolescent Health
1101 Wootton Parkway, Suite 700
Rockville, Maryland 20852
240-453-2837
Evelyn.Kappeler@hhs.gov



From: Kappeler, Evelyn (HHS/OASH)
To: [Kappeler, Evelyn \(HHS/OASH\)](#)
Cc: [Downs, Tanette \(HHS/OASH\) \(Tanette.Downs@hhs.gov\)](#); ["Farb, Amy \(HHS/OASH\)"](#)
Subject: Note to the File - June 27, 2017
Date: Tuesday, June 27, 2017 8:05:00 PM
Attachments: [image002.png](#)
[image003.png](#)

Note to the File (Tanette and Amy copied since they will be operationally charge during my absence from June 28-July 10):

This afternoon I received an Outlook invitation from the acting ASH's scheduler to participation in a 5:15 pm conference call on the topic, "Grants Discussion." Participants included Dr. Wright, acting Assistant Secretary for Health, Carter Blakey, Steven Valentine, Alice Bettencourt, Amy Farb, Tanette Downs, David Johnson, Susan Moskosky and Teresa Manning.

Dr. Wright opened the call acknowledging that those of us in OPA and OAH were wanting some answers about the status of our grants. He noted that Steven Valentine was trying to get some direction from the HHS policy team and he wanted to check in with us with an update from Steven. He also noted that Steven would be talking with the HHS policy team after our call.

Steven provided an update on the status of the OPA awards indicating that the policy team had all the information it needed to make a call about grant extensions and new competes.

Steven then provided an update on the OAH awards.

- He indicated that the (b) (5) with some additional program guidance. In response to my questions, he confirmed that both (b) (5) and that the new guidance would likely come sometime later in July.
- He also indicated that it was likely both (b) (5) and that additional program guidance was to be developed and would likely be provided to OAH sometime later in July.
- He stated that the (b) (5)
- In response to my question about the status of the (b) (5) he indicated that the (b) (5)

Sue, Alice and I noted that continuation grantees are now having to lay off staff since they do not know whether they have continued funding for their projects.

I noted that I will be on leave starting tomorrow, June 28. I also told Dr. Wright that as the holder of the delegated authority for the OAH grants he would provide written direction to OGM about

revised instructions for the grant awards. Dr. Wright indicated he would provide written guidance about the final policy team decisions regarding the award of the pending grants.

Alice also noted that she would need updated control tables to supersede what was previously provided by the program offices. I have provided Tanette and Amy with instructions about how to revise the control sheets for Dr. Wright's signature.

Dr. Wright mentioned that he and Steven will be at the Tower Building tomorrow and the he will be on leave this Friday, June 30.

Evelyn

Evelyn Kappeler
Director
HHS Office of Adolescent Health
1101 Wootton Parkway, Suite 700
Rockville, Maryland 20852
240-453-2837
Evelyn.Kappeler@hhs.gov



From: [Farb, Amy \(HHS/OASH\)](#)
To: [Kappeler, Evelyn \(HHS/OASH\)](#)
Cc: [Downs, Tanette \(HHS/OASH\)](#)
Subject: URGENT Grants Discussion Meeting called at 2:30pm 6/28 - NOTES FOR THE FILE
Date: Thursday, June 29, 2017 12:26:07 PM

Hi Evelyn,

I wanted to bring you up to speed on the meeting held at 2:30pm yesterday regarding the OPA and OAH grants. Tanette, please add information I may have neglected to include. Dr. Wright was in the Tower Building Wednesday so he held the meeting in the ODPHP conference room for those in the building. Attending in person were Dr. Wright, Carter Blakely, Michon Kretschmaier, Alice Bettancourt, David Johnson, Theresa Manning, Tanette Downs and myself. On the phone were Sue Moskosky, Valerie Huber, and Steven Valentine.

Dr. Wright started the meeting by thanking everyone for working so quickly and said that the career staff want to help the politicals get what they need.

Steven Valentine then started listing updates to the decisions made on the OPA grants first. (b) (5)

[REDACTED]

Next Steven went through the OAH grants. (b) (5)

[REDACTED]

[REDACTED] (b) (5)

[REDACTED] (b) (5)

[REDACTED]

(b)

(b) (5)

(b) (5)

(b) (5)

No one reached back out to Tanette or me by the time I left the office yesterday a little after 6pm.

Amy

Amy F. Farb, Ph.D.
Senior Evaluation Specialist
Office of Adolescent Health
US Department of Health and Human Services
240-453-2836 | amy.farb@hhs.gov

From: [Downs, Tanette \(HHS/OASH\)](#)
To: [Wright, Don \(HHS/OASH\)](#)
Cc: [Bettencourt, Alice \(HHS/OASH\)](#); [Kretschmaier, Michon \(HHS/OASH\)](#); [Blakey, Carter R \(HHS/OASH\)](#); [Huber, Valerie \(HHS/OASH\)](#); [Kappeler, Evelyn \(HHS/OASH\)](#); [Valentine, Steven \(HHS/IOS\)](#); [Farb, Amy \(HHS/OASH\)](#)
Subject: RE: Tier 2 TTP Grants
Date: Thursday, June 29, 2017 2:33:48 PM
Attachments: [Revised Control Table TPP_Tier 2A_June 29 2017.pdf](#)
[Revised Control Table TPP_Tier 2B_June 29 2017.pdf](#)

Hi Dr. Wright,

Per your request, attached please find the revised control tables that require your signature for the following grant programs:

- TPP Tier 2A
- TPP Tier 2B

These revised tables supersede the previous control tables provided to OGM from OAH dated May 18, 2017.

Tanette

From: Wright, Don (HHS/OASH)
Sent: Thursday, June 29, 2017 2:22 PM
To: Downs, Tanette (HHS/OASH); Farb, Amy (HHS/OASH); Kappeler, Evelyn (HHS/OASH)
Cc: Bettencourt, Alice (HHS/OASH); Kretschmaier, Michon (HHS/OASH); Blakey, Carter R (HHS/OASH); Huber, Valerie (HHS/OASH); Valentine, Steven (HHS/IOS)
Subject: Tier 2 TTP Grants

Gang,

You are authorized to move forward with these grant awards with the shortened period of performance of 1 year.

You need to update the revised fund table and return for my signature by 4 PM.

Let me/Alice know if you have any questions.

Don Wright MD MPH
Acting Assistant Secretary for Health
Deputy Assistant Secretary for Health
Director: Office of Disease Prevention and Health Promotion
1101 Wootton Parkway Suite LL 100
Rockville, MD 20852
(202) 260-6855

Farb, Amy (HHS/OASH)

From: Farb, Amy (HHS/OASH)
Sent: Monday, July 03, 2017 10:49 AM
To: OS - OPHS OAH
Subject: RE: TPP Tier 1A/Tier 2 Grant Awards Issued

Some of you have asked why you haven't seen the NOAs yet, Alice responded with:

"Zee is in the process of letting the grantees know via email. I'm not sure when GrantSolutions will release them"

From: Farb, Amy (HHS/OASH)
Sent: Saturday, July 01, 2017 3:58 PM
To: OS - OPHS OAH
Subject: TPP Tier 1A/Tier 2 Grant Awards Issued

Good afternoon everyone!

I'm happy to let you know that I was just informed that the TPP Tier 1A and TPP Tier 2 Continuation Grant Awards have been issued. They are effective immediately. Tier 1B awards will be in place by Monday.

You may start to receive calls when your grantees notice that the performance period/grant end dates have been reduced. I have not been issued any guidance or talking points that we can use to discuss with the grantees. Please write down any of their questions and/or concerns and forward them to me. I will forward them to senior leadership at OASH and we will wait for their response to forward on to the grantees.

Please let me know if you have any questions or concerns. I am monitoring email over the weekend and will be in the office on Monday.

Best,
Amy

From: [Downs, Tanette \(HHS/OASH\)](#)
To: [Bettencourt, Alice \(HHS/OASH\)](#); [Wright, Don \(HHS/OASH\)](#)
Cc: [Valentine, Steven \(HHS/IOS\)](#); [Farb, Amy \(HHS/OASH\)](#); [Kappeler, Evelyn \(HHS/OASH\)](#); [Blakey, Carter R \(HHS/OASH\)](#); [Huber, Valerie \(HHS/OASH\)](#)
Subject: FW: Draft (b) (5)
Date: Wednesday, July 05, 2017 3:51:19 PM
Attachments: [image001.png](#)
(b) (5)

Attached please find the (b) (5)

Tanette

From: Bettencourt, Alice (HHS/OASH)
Sent: Wednesday, July 05, 2017 11:51 AM
To: Downs, Tanette (HHS/OASH); Huber, Valerie (HHS/OASH); Johnson, David M. (HHS/OASH); Moskosky, Susan B (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Kretschmaier, Michon (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

All,

(b) (5)

Alice

From: Downs, Tanette (HHS/OASH)
Sent: Wednesday, July 05, 2017 11:29 AM
To: Bettencourt, Alice (HHS/OASH); Huber, Valerie (HHS/OASH); Johnson, David M. (HHS/OASH); Moskosky, Susan B (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Kretschmaier, Michon (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

Hi Alice,

We are working on revising the letters now.

(b) (5)

Tanette

From: Bettencourt, Alice (HHS/OASH)
Sent: Wednesday, July 05, 2017 11:26 AM
To: Huber, Valerie (HHS/OASH); Johnson, David M. (HHS/OASH); Moskosky, Susan B (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

(b) (5)
David, one final change—
(b) (5)

Amy, Tanette, (b) (5)

From: Huber, Valerie (HHS/OASH)
Sent: Wednesday, July 05, 2017 11:22 AM
To: Johnson, David M. (HHS/OASH); Moskosky, Susan B (HHS/OASH); Bettencourt, Alice (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

Hi all,

Teresa is traveling right now, but (b) (5)

Best,
Valerie

From: Johnson, David M. (HHS/OASH)
Sent: Wednesday, July 05, 2017 11:05 AM
To: Moskosky, Susan B (HHS/OASH); Bettencourt, Alice (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Huber, Valerie (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

Hi all

(b) (5)

Aiming for early next week no that, have a few other pressing things this week to address.
However I can move this up if necessary.
Thanks
David..

David M. Johnson, MPH
Operations and Management Officer
Office of Population Affairs
240.453.2841
David.johnson@hhs.gov

From: Moskosky, Susan B (HHS/OASH)
Sent: Wednesday, July 05, 2017 9:47 AM
To: Johnson, David M. (HHS/OASH); Bettencourt, Alice (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Huber, Valerie (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

(b) (5)

Sue

Susan B Moskosky, MS, WHNP-BC
Deputy Director
Office of Population Affairs
Office of the Assistant Secretary for Health/HHS
Phone: 240-453-2888



From: Johnson, David M. (HHS/OASH)
Sent: Monday, July 03, 2017 5:32 PM
To: Bettencourt, Alice (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Moskosky, Susan B (HHS/OASH); Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Huber, Valerie (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

Here you go all
Thanks
David..

Alice it's beyond your 2 hours!!!

David M. Johnson, MPH
Operations and Management Officer
Office of Population Affairs
240.453.2841
David.johnson@hhs.gov

From: Bettencourt, Alice (HHS/OASH)
Sent: Monday, July 03, 2017 5:25 PM
To: Johnson, David M. (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Moskosky, Susan B (HHS/OASH); Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Huber, Valerie (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: Re: Draft (b) (5)

Also adding Tara.

David (b) (5)

(b) (5)

On: 03 July 2017 16:40, "Johnson, David M. (HHS/OASH)" <david.johnson@hhs.gov> wrote:

Yes, the deadline is Wednesday late morning/early afternoon to send out to the grantees.

David M. Johnson, MPH
Operations and Management Officer
Office of Population Affairs
240.453.2841
David.johnson@hhs.gov

From: Manning, Teresa (HHS/OASH)
Sent: Monday, July 03, 2017 4:37 PM
To: Johnson, David M. (HHS/OASH); Farb, Amy (HHS/OASH); Bettencourt, Alice (HHS/OASH)
Cc: Moskosky, Susan B (HHS/OASH); Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH)
Subject: RE: Draft (b) (5)

Thanks, David. I'm going to run this by the policy people and get back to you (b) (5)

From: Johnson, David M. (HHS/OASH)
Sent: Monday, July 03, 2017 4:25 PM
To: Farb, Amy (HHS/OASH); Bettencourt, Alice (HHS/OASH)
Cc: Moskosky, Susan B (HHS/OASH); Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Manning, Teresa (HHS/OASH)
Subject: Draft (b) (5)

Hi all

Per our conversations.

Attached is the draft letter.

(b) (5)

Talk soon

David..

Confucius says: two-handed man lit the firework, one-handed man held it too long.

David M. Johnson, MPH
Operations and Management Officer
Office of Population Affairs
240.453.2841
David.johnson@hhs.gov

From: [Bettencourt, Alice \(HHS/OASH\)](#)
To: [Downs, Tanette \(HHS/OASH\)](#); [Farb, Amy \(HHS/OASH\)](#)
Cc: [Kappeler, Evelyn \(HHS/OASH\)](#)
Subject: FW: Draft (b) (5)
Date: Wednesday, July 05, 2017 5:12:32 PM
Attachments: [Signed Revised Control Table.pdf](#)
[image001.png](#)

Signed control table for TPS.

From: Valentine, Steven (HHS/IOS)
Sent: Wednesday, July 05, 2017 5:08 PM
To: Broido, Tara (HHS/OASH); Bettencourt, Alice (HHS/OASH)
Subject: RE: Draft noon-funding letter

Signed control sheet is attached. Thanks!

From: Broido, Tara (HHS/OASH)
Sent: Wednesday, July 05, 2017 5:02 PM
To: Bettencourt, Alice (HHS/OASH)
Cc: Valentine, Steven (HHS/IOS)
Subject: RE: Draft (b) (5)

It is awaiting his signature. Steven will take it across the finish line, as Dr. Wright was not in his office.

From: Bettencourt, Alice (HHS/OASH)
Sent: Wednesday, July 05, 2017 5:00 PM
To: Downs, Tanette (HHS/OASH); Wright, Don (HHS/OASH)
Cc: Valentine, Steven (HHS/IOS); Farb, Amy (HHS/OASH); Kappeler, Evelyn (HHS/OASH); Blakey, Carter R (HHS/OASH); Huber, Valerie (HHS/OASH); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

* you may send them.

Tara is taking it to Dr. Wright for us since Dinah is out and Oneika is gone for the day

From: Bettencourt, Alice (HHS/OASH)
Sent: Wednesday, July 05, 2017 4:51 PM
To: Downs, Tanette (HHS/OASH); Wright, Don (HHS/OASH)
Cc: Valentine, Steven (HHS/IOS); Farb, Amy (HHS/OASH); Kappeler, Evelyn (HHS/OASH); Blakey, Carter R (HHS/OASH); Huber, Valerie (HHS/OASH)
Subject: RE: Draft (b) (5)

Hi Tanette,

The letter looks good to me. Once Dr. Wright signs the revised control sheet, you send them.

Alice

From: Downs, Tanette (HHS/OASH)

Sent: Wednesday, July 05, 2017 4:29 PM
To: Bettencourt, Alice (HHS/OASH); Wright, Don (HHS/OASH)
Cc: Valentine, Steven (HHS/IOS); Farb, Amy (HHS/OASH); Kappeler, Evelyn (HHS/OASH); Blakey, Carter R (HHS/OASH); Huber, Valerie (HHS/OASH)
Subject: RE: Draft (b) (5)

With attachments.

From: Downs, Tanette (HHS/OASH)
Sent: Wednesday, July 05, 2017 4:26 PM
To: Bettencourt, Alice (HHS/OASH); Wright, Don (HHS/OASH)
Cc: Valentine, Steven (HHS/IOS); Farb, Amy (HHS/OASH); Kappeler, Evelyn (HHS/OASH); Blakey, Carter R (HHS/OASH); Huber, Valerie (HHS/OASH)
Subject: RE: Draft (b) (5)

Alice/Don,

Attached please find the (b) (5)

Please let us know if it is okay for us to send the letters out today.

Tanette

From: Bettencourt, Alice (HHS/OASH)
Sent: Wednesday, July 05, 2017 3:53 PM
To: Downs, Tanette (HHS/OASH); Wright, Don (HHS/OASH)
Cc: Valentine, Steven (HHS/IOS); Farb, Amy (HHS/OASH); Kappeler, Evelyn (HHS/OASH); Blakey, Carter R (HHS/OASH); Huber, Valerie (HHS/OASH)
Subject: RE: Draft (b) (5)

Tanette, could you please add (b) (5)

From: Downs, Tanette (HHS/OASH)
Sent: Wednesday, July 05, 2017 3:51 PM
To: Bettencourt, Alice (HHS/OASH); Wright, Don (HHS/OASH)
Cc: Valentine, Steven (HHS/IOS); Farb, Amy (HHS/OASH); Kappeler, Evelyn (HHS/OASH); Blakey, Carter R (HHS/OASH); Huber, Valerie (HHS/OASH)
Subject: FW: Draft (b) (5)

Attached please find the (b) (5)

Tanette

From: Bettencourt, Alice (HHS/OASH)
Sent: Wednesday, July 05, 2017 11:51 AM
To: Downs, Tanette (HHS/OASH); Huber, Valerie (HHS/OASH); Johnson, David M. (HHS/OASH); Moskosky, Susan B (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Kretschmaier, Michon (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

All,

(b) (5)

Alice

From: Downs, Tanette (HHS/OASH)
Sent: Wednesday, July 05, 2017 11:29 AM
To: Bettencourt, Alice (HHS/OASH); Huber, Valerie (HHS/OASH); Johnson, David M. (HHS/OASH); Moskosky, Susan B (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Kretschmaier, Michon (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

Hi Alice,

We are working on revising the letters now.

(b) (5)

Tanette

From: Bettencourt, Alice (HHS/OASH)
Sent: Wednesday, July 05, 2017 11:26 AM
To: Huber, Valerie (HHS/OASH); Johnson, David M. (HHS/OASH); Moskosky, Susan B (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

(b) (5)
David, one final change

(b) (5)

(b) (5)
Amy, Tanette

From: Huber, Valerie (HHS/OASH)
Sent: Wednesday, July 05, 2017 11:22 AM
To: Johnson, David M. (HHS/OASH); Moskosky, Susan B (HHS/OASH); Bettencourt, Alice (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

Hi all,

Teresa is traveling right now, but (b) (5)

(b) (5)

Best,
Valerie

From: Johnson, David M. (HHS/OASH)
Sent: Wednesday, July 05, 2017 11:05 AM
To: Moskosky, Susan B (HHS/OASH); Bettencourt, Alice (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Huber, Valerie (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

Hi all

(b) (5)

Aiming for early next week no that, have a few other pressing things this week to address.

However I can move this up if necessary.

Thanks

David..

David M. Johnson, MPH
Operations and Management Officer
Office of Population Affairs
240.453.2841
David.johnson@hhs.gov

From: Moskosky, Susan B (HHS/OASH)
Sent: Wednesday, July 05, 2017 9:47 AM
To: Johnson, David M. (HHS/OASH); Bettencourt, Alice (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Huber, Valerie (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

(b) (5)

Sue

Susan B Moskosky, MS, WHNP-BC
Deputy Director
Office of Population Affairs
Office of the Assistant Secretary for Health/HHS
Phone: 240-453-2888

From: Johnson, David M. (HHS/OASH)
Sent: Monday, July 03, 2017 5:32 PM
To: Bettencourt, Alice (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Moskosky, Susan B (HHS/OASH); Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Huber, Valerie (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: RE: Draft (b) (5)

Here you go all
Thanks
David..

Alice it's beyond your 2 hours!!!

David M. Johnson, MPH
Operations and Management Officer
Office of Population Affairs
240.453.2841
David.johnson@hhs.gov

From: Bettencourt, Alice (HHS/OASH)
Sent: Monday, July 03, 2017 5:25 PM
To: Johnson, David M. (HHS/OASH); Manning, Teresa (HHS/OASH); Farb, Amy (HHS/OASH)
Cc: Moskosky, Susan B (HHS/OASH); Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Huber, Valerie (HHS/OASH); Valentine, Steven (HHS/IOS); Broido, Tara (HHS/OASH)
Subject: Re: Draft (b) (5)

Also adding Tara.

David (b) (5)

(b) (5)

On: 03 July 2017 16:40, "Johnson, David M. (HHS/OASH)" <david.johnson@hhs.gov> wrote:

Yes, the deadline is Wednesday late morning/early afternoon to send out to the grantees.

David M. Johnson, MPH
Operations and Management Officer
Office of Population Affairs
240.453.2841
David.johnson@hhs.gov

From: Manning, Teresa (HHS/OASH)
Sent: Monday, July 03, 2017 4:37 PM
To: Johnson, David M. (HHS/OASH); Farb, Amy (HHS/OASH); Bettencourt, Alice (HHS/OASH)
Cc: Moskosky, Susan B (HHS/OASH); Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH)
Subject: RE: Draft (b) (5)

Thanks, David. I'm going to run this by the policy people and get back to you. (b) (5)

From: Johnson, David M. (HHS/OASH)
Sent: Monday, July 03, 2017 4:25 PM
To: Farb, Amy (HHS/OASH); Bettencourt, Alice (HHS/OASH)
Cc: Moskosky, Susan B (HHS/OASH); Kretschmaier, Michon (HHS/OASH); Downs, Tanette (HHS/OASH); Manning, Teresa (HHS/OASH)
Subject: Draft (b) (5)

Hi all

Per our conversations.

Attached is the draft letter (b) (5)

(b) (5)

Talk soon

David..

Confucius says: two-handed man lit the firework, one-handed man held it too long.

David M. Johnson, MPH
Operations and Management Officer
Office of Population Affairs
240.453.2841
David.johnson@hhs.gov

(b) (5)



I offered to make OAH available to provide a briefing on our program evaluation results which he indicated he would like to happen; however, with workloads and vacations, he didn't see that happening for at least a few weeks.

Dr. Wright then mentioned that in 2010, the Obama Administration sought to (b) (5) and what did I think of that? I responded that that was a policy/political decision and as a civil servant I didn't have a comment but I could speak to the TPP program evaluations.

It was a short (~20 minute) meeting with no real outcome other than to confirm that he thinks the (b) (5) based on OMB's assessment and what he had heard from the policy team); however, he has not actually reviewed the materials.
Evelyn

From: Kappeler, Evelyn (HHS/OASH)
Sent: Monday, August 07, 2017 12:02 PM
To: Wright, Don (HHS/OASH)
Subject: RE: AP Article published in the New York Times 7 25 2017 - INFORMATION

Hi Don,

I will be at HHH on Wednesday for the OASH Senior Staff meeting. Do you have any time to share with me (b) (5) or sometime for a phone call before you go on vacation?

Thanks,

Evelyn

From: Wright, Don (HHS/OASH)
Sent: Friday, July 28, 2017 5:13 PM
To: Kappeler, Evelyn (HHS/OASH)
Subject: RE: AP Article published in the New York Times 7 25 2017 - INFORMATION

Thanks Evelyn

This will be helpful over the weekend when I review all information.

On a related note, we had a call today with OMB about the TPP program at their request. They had received comments from the Hill related to some grants expiring in one year and wanted to understand HHS rationale.

Steven led the discussion as I have not been involved except tangentially. Unknown to us, OMB had

reviewed your evaluation findings prior to the phone call. I will share with you their observations sometime next week.

Have a good weekend.

Don Wright MD MPH
Acting Assistant Secretary for Health
Deputy Assistant Secretary for Health
Director: Office of Disease Prevention and Health Promotion
1101 Wootton Parkway Suite LL 100
Rockville, MD 20852
(202) 260-6855

From: Kappeler, Evelyn (HHS/OASH)
Sent: Friday, July 28, 2017 4:25 PM
To: Wright, Don (HHS/OASH)
Cc: Kappeler, Evelyn (HHS/OASH)
Subject: RE: AP Article published in the New York Times 7 25 2017 - INFORMATION

Dr. Wright,

(b) (5)



I hope this helps clarify in response to your question.

Evelyn

From: Wright, Don (HHS/OASH)
Sent: Friday, July 28, 2017 12:49 PM
To: Kappeler, Evelyn (HHS/OASH)
Subject: RE: AP Article published in the New York Times 7 25 2017 - INFORMATION

Thanks Evelyn,

I got it from Tara as well. (b) (5)

[REDACTED]

Don Wright

From: Kappeler, Evelyn (HHS/OASH)
Sent: Friday, July 28, 2017 12:15 PM
To: Wright, Don (HHS/OASH)
Subject: FW: AP Article published in the New York Times 7 25 2017 - INFORMATION

Dr. Wright,

In follow up to our conversation this morning, below is the note that I sent to Tara to explain our evaluation findings from the TPP program.

Our webpage includes quite a bit of information about our evaluation work and results, including: <https://www.hhs.gov/ash/oah/evaluation-and-research/grantee-led-evaluation/evaluated-programs-effective-at-changing-behavior/index.html> and an overview of all the body of evaluation work at:

<https://www.hhs.gov/ash/oah/grant-programs/teen-pregnancy-prevention-program-tpp/about/tpp-cohort-1/index.html>

If you would like more information, please let me know.

Thanks,

Evelyn

From: Kappeler, Evelyn (HHS/OASH)
Sent: Wednesday, July 26, 2017 6:04 PM
To: Broido, Tara (HHS/OASH)
Cc: Novick, Emily (OS/OASH); Kappeler, Evelyn (HHS/OASH)
Subject: AP Article published in the New York Times 7 25 2017 - INFORMATION

Dear Tara:

You've probably seen the article by AP that was published in the New York Times last night about the TPP Program - <https://www.nytimes.com/aponline/2017/07/25/us/ap-us-trump-teen-pregnancy.html?mwrs=Email>. The article contains several errors about the TPP Cohort 1 findings. In response to the article, I'd like to provide you with the accurate data.

The article stated:

"A Health and Human Services spokesman said late Tuesday that an evaluation of the first round of grants released last fall found only four of 37 programs studied showed lasting positive impacts. Most of the other programs had no effect or were harmful, the department said, including three that it said increased the likelihood that teens would have unprotected sex and become pregnant. Given the very weak evidence of positive impact of these programs, the Trump administration, in its ... 2018 budget proposal, did not recommend continued funding for the TPP program," the department statement said."

We're not clear where some of the statements in this quote are coming from however we're happy to provide information to help others understand the TPP Cohort 1 findings and make everyone aware of additional data that has become available since the initial data release in June 2016.

Cohort 1 data identified 12 TPP program models with demonstrated positive behavioral impacts at varying time points post program delivery. Nine of these program models demonstrated positive behavioral impacts 6+ months after intervention delivery, some demonstrating fairly prolonged impacts. Others may not be aware that since the initial release of findings, additional longer-term findings have become available demonstrating one of these TPP program models had positive behavioral impacts at 18 months and another had positive behavioral impacts as far out as 24 months post program delivery.

Of the 12 effective program models, only 6 were evaluated at later time points and found diminished effects. We can only conclude that these 6 did not have longer impacts, as the others were not evaluated to determine the extent of lasting impacts.

The data from Cohort 1 findings were applied to the implementation of Cohort 2 programs. Any program with a negative or mixed finding could no longer be implemented either at all or where that finding was demonstrated. Additionally, whenever a particular program models had 3 evaluations without a positive impact, we directed grantees toward models with better evidence. In some cases, this meant an organization had to have staff trained in new program models so that they'd implement a program model with better evidence behind it. This is how we translated the evidence into practice with the second cohort of grants.

The field considers the evidence from the TPP Cohort 1 evaluations to be very strong. Generally, randomized controlled trials yield positive impacts 10-20% of the time. The TPP Program yielded positive results for, depending on how you calculate it, 44% of the program models in Tier 1 (4 of 9 models evaluated) or 30% of evaluations (4 of 12 evaluations) and 50% of the program models/evaluations in Tier 2 (8 of 16 program models/evaluations).

We are available if you or others have questions and we are always available to provide information

to support OASH Communications in drafting responses to the media on issues involving OAH programs and evaluations. We'd be happy to sit down and walk through what the data is showing and to help put it all in context if that would be helpful.

Thanks,

Evelyn

Evelyn Kappeler
Director
HHS Office of Adolescent Health
1101 Wootton Parkway, Suite 700
Rockville, Maryland 20852
240-453-2837
Evelyn.Kappeler@hhs.gov



From: [Kappeler, Evelyn \(HHS/OASH\)](#)
To: [Kappeler, Evelyn \(HHS/OASH\)](#)
Cc: [REDACTED]
Subject: Note to the File 8 9 2017
Date: Wednesday, August 09, 2017 5:47:01 PM
Attachments: [image002.png](#)
[image003.png](#)

Note to the File:

At the OASH senior staff meeting today, Dr. Wright acknowledged that he had not had a chance to respond to my email, but he did have time after the meeting to chat with me. So, after the senior staff meeting I joined him in his office to hear what he had to say about the OMB's observations about our evaluation findings. He also stated that he had not had time over the weekend to review the OAH evaluation materials given all the demands on his time. He also mentioned in passing that there was so much going on in OASH and that while Valerie and Steven were focused on OAH and OPA activities, he and Carter were focused on the rest of OASH.

He then mentioned that he and Steven had a call with OMB about the TPP program because the wanted more information (b) (5). He stated that since he hadn't been engaged in the discussions of our evaluations, he let Steven lead the call. He then stated that (b) (5). He did not offer the name(s) of the individuals from OMB who were on the call or the names of the individuals from the Hill who had made inquiries of OMB, although he did mention that he had asked Steven if the OMB person (female) was career or political. Steven told him she was a career person. (Given recent admonishments from Dr. Wright about what he perceives as the lack of appropriateness or motivations for my questions, I felt it prudent not to ask questions about the parties involved in the conversation). He did say that if (b) (5) and that as a taxpayer didn't I feel the same?

(b) (5)



(b) (5)



I offered to make OAH available to provide a briefing on our program evaluation results which he indicated he would like to happen; however, with workloads and vacations, he didn't see that happening for at least a few weeks.

Dr. Wright then mentioned that in 2010, the Obama Administration sought to (b) (5) and what did I think of that? I responded that that was a policy/political decision and as a civil servant I didn't have a comment but I could speak to the TPP program evaluations.

It was a short (~20 minute) meeting with no real outcome other than to confirm that he thinks the (b) (5) based on OMB's assessment and what he had heard from the policy team); however, he has not actually reviewed the materials.
Evelyn

From: Kappeler, Evelyn (HHS/OASH)
Sent: Monday, August 07, 2017 12:02 PM
To: Wright, Don (HHS/OASH)
Subject: RE: AP Article published in the New York Times 7 25 2017 - INFORMATION

Hi Don,

I will be at HHH on Wednesday for the OASH Senior Staff meeting. Do you have any time to share with me (b) (5) or sometime for a phone call before you go on vacation?

Thanks,

Evelyn

From: Wright, Don (HHS/OASH)
Sent: Friday, July 28, 2017 5:13 PM
To: Kappeler, Evelyn (HHS/OASH)
Subject: RE: AP Article published in the New York Times 7 25 2017 - INFORMATION

Thanks Evelyn

This will be helpful over the weekend when I review all information.

On a related note, we had a call today with OMB about the TPP program at their request. They had received comments from the Hill related to some grants expiring in one year and wanted to understand HHS rationale.

Steven led the discussion as I have not been involved except tangentially. Unknown to us, OMB had

From: [Farb, Amy \(HHS/OASH\)](#)
To: [Kappeler, Evelyn \(HHS/OASH\)](#)
Cc: [Downs, Tanette \(HHS/OASH\)](#)
Subject: URGENT Grants Discussion Meeting called at 2:30pm 6/28 - NOTES FOR THE FILE
Date: Thursday, June 29, 2017 12:26:07 PM

Hi Evelyn,

I wanted to bring you up to speed on the meeting held at 2:30pm yesterday regarding the OPA and OAH grants. Tanette, please add information I may have neglected to include. Dr. Wright was in the Tower Building Wednesday so he held the meeting in the ODPHP conference room for those in the building. Attending in person were Dr. Wright, Carter Blakely, Michon Kretschmaier, Alice Bettancourt, David Johnson, Theresa Manning, Tanette Downs and myself. On the phone were Sue Moskosky, Valerie Huber, and Steven Valentine.

Dr. Wright started the meeting by thanking everyone for working so quickly and said that the career staff want to help the politicals get what they need.

Steven Valentine then started listing updates to the decisions made on the OPA grants first. (b) (5)

[REDACTED]

Next Steven went through the OAH grants. (b) (5)

[REDACTED]

[REDACTED] (b) (5)
[REDACTED] (b) (5)
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

(b)

(b) (5)

(b) (5)

(b) (5)

No one reached back out to Tanette or me by the time I left the office yesterday a little after 6pm.

Amy

Amy F. Farb, Ph.D.
Senior Evaluation Specialist
Office of Adolescent Health
US Department of Health and Human Services
240-453-2836 | amy.farb@hhs.gov

From: Kappeler, Evelyn (HHS/OASH)
To: [Kappeler, Evelyn \(HHS/OASH\)](#)
Subject: Note to the File - July 21
Date: Wednesday, June 21, 2017 4:06:00 PM
Attachments: [image002.png](#)
[image003.png](#)

Note to File:

At 3:00 pm today I had a phone conversation with Dr. Wright, Steven Valentine and Carter Blakely regarding the status of the pending OAH grant continuation and new competitive awards. Carter did not speak during the meeting.

Dr. Wright opened the conversation with acknowledging that OAH has a large portfolio and there is great curiosity about the policy team input for our July 1 grant starts. He noted that Steven has taken the lead in the discussions with the policy team and the direction to be taken.

Steven thanked me for my patience with the process and the feedback we provided to the policy team. He described the current situation – where things were going – and noted that no final determinations had yet been made.

(b) (5)

(b) (5)

(b) (5)

(b) (5)

I asked about messaging this information to the public and staff. Dr. Wright noted that this is still unsettled ground and directed that I not share any information with anyone until they know what the policy team actually wants to do. Steven mentioned that a communication plan would be developed with the policy team. Dr. Wright indicated he hoped to have everything wrapped up by the end of the week. I reminded him that I will be on leave starting Wednesday of next week and that I would at some point need to debrief with my deputy so she is aware of the landscape and the issues.

Evelyn

Evelyn Kappeler
Director
HHS Office of Adolescent Health
1101 Wootton Parkway, Suite 700
Rockville, Maryland 20852
240-453-2837
Evelyn.Kappeler@hhs.gov



From: Kappeler, Evelyn (HHS/OASH)
To: [Kappeler, Evelyn \(HHS/OASH\)](#)
Cc: [REDACTED]
Subject: Note to the File - Today's 1:1 with Dr. Wright
Date: Monday, July 17, 2017 7:11:00 PM
Attachments: [image002.png](#)
[image003.png](#)

Note to the file:

This morning I meet with Dr. Wright from 11:30-12:15 during a 1:1 session. Last Friday, I had requested time to talk with him briefly and he asked that I set up a 1:1 meeting with him early in the week of July 17. I had requested to speak with him to invite him to come talk with OAH staff about recent events regarding the one year project period for the TPP grants and the ending of funding for the CBA grants, as well as staff concerns about their jobs in light of these events and the PBR to eliminate funding in for the TPP program in FY 2018. He agreed to come to OAH this Thursday and after our meeting we identified time on his calendar for a meeting with OAH on Thursday, July 20 from 2:30-3:00.

(b) (5)

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

(b) (5)

[REDACTED]

I also raised my concerns about potential lapse of funds in OAH accounts given all the decisions being made by the policy and to which I am not privy. (b) (5)

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]. He agreed that I could not be held responsible if there is a lapse of funds in any of our accounts.

I also pointed out that while I have been asked to represent OASH on the HHS Strategic Planning workgroup that is focused on the healthcare workforce, that is not my area of expertise and that I am better suited to the workgroup focusing on children, youth and healthy marriage. He blamed (b) (6) for jumping the gun in making and sending out assignments without first consulting with the acting ASH, which in his opinion just created the situation. I responded that even if (b) (6) had not made an initial assignment and then had to pull it back, (b) (5)

[REDACTED]

[REDACTED]

After discussing my agenda items, Dr. Wright then turned to an issue he wanted to discuss with me. He has a copy of the article in REVEAL by the Center for Investigative Reporting and stated that (b) (6) was upset about a statement in the article describing what a grantee attributed to an OAH staff member. The statement indicated that recent decisions with regard to shortened or discontinued grants was made outside of OAH. While a true statement in my opinion, he was angry about the fact that the Secretary's picture was prominently displayed on the cover of the article and that the as civil servants we need to talk with one voice and not appear to pointing fingers to the ASH or the Secretary. I reminded him that the POs were surprised by the decision that was handed down to OAH and that they have ongoing working relationships with the grantees and that they did not have talking points to work from at that point. He expressed surprise that POs weren't politically savvy enough to know that change was coming. I reminded him that POs are just that project officers managing grant programs and not policy experts nor did they have any advance notice of the decision, so were caught by surprise as were the grantees. He stated that they should have expected change and that we all know change comes with any new Administration – and then mentioned the fact that the CBAE training grants were cut by the previous administration. (I found this curious because he is not very steeped in our program issues. I have to assume that this is information he got from (b) (6) has her organization held those grants at the time.)

He then told that I needed to talk with two staff members about their attitude. While I was on leave, he had convened a series of daily meeting regarding the status of OPA and OAH grant decisions coming from the "policy team." The meeting, held late on a Thursday afternoon (July 6?) was held in the ODPHP conference room with the following attendees: (b) (6)

(b) (6) joined by conference call from HHH and (b) (6) joined by phone since she was in Hawaii. He states that (b) (6) were extremely professional the whole time and expressed his displeasure at the behavior of the OAH staff in attendance. At some point during the meeting he had to step out; however, when he returned he was told that (b) (6) had displayed clearly inappropriate attitude. He stated that he was embarrassed by the behavior and that in his opinion it warranted a reprimand. He encouraged me to discuss the matter with the three staff who were in the room when the meeting ended (b) (6) who all described the behavior as "inappropriate" to him and urged him to address the issue. He described (b) (6) opening comments as "barbed" and that (b) (6) attitude was "clearly inappropriate" in her statements that "they can't do that with the CBA money." He stated that telling people how to use the money is not the appropriate civil servant response and that her comments were "over the line." When I asked if he had addressed it at the meeting, he said it was not the forum for addressing that behavior. He stated that as civil servants, our responsibility is to implement the Administration's agenda whether we like it or not, as long as it is legal. And if we can't, we should consider other options. He was angry and stated that I we needed to get in line and that I set the tone for the office and the office attitude. I told him that I would speak with the two OAH staff members which I did this afternoon. Both expressed concerns about being able to ask questions in this environment and the lack of engagement by policy staff directly with the program office

Evelyn Kappeler
Director
HHS Office of Adolescent Health
1101 Wootton Parkway, Suite 700
Rockville, Maryland 20852
240-453-2837
Evelyn.Kappeler@hhs.gov



From: Kappeler, Evelyn (HHS/OASH)
To: [Kappeler, Evelyn \(HHS/OASH\)](#)
Subject: Note to the File July 28
Date: Friday, July 28, 2017 5:41:00 PM
Attachments: [image002.png](#)
[image003.png](#)

July 28, 2017

Note the File:

(b) (6) scheduled a conference call from 10:30-11:00 on the subject, "OAH Budgetary Directory." Participants included himself, (b) (6)

(b) (6) opened the call by saying that he was sympathetic to my position and noted that I am a time sensitive manager. He mentioned that he had reached out to Valerie (Huber) yesterday to see what he could tell me about what monies may be used. He mentioned that meetings were supposed to happen with OGC and ACF, however, they have haven't gotten back [to Valerie]. However, he did want to reach out to talk about what they are looking into. He then asked Steven to review the initiatives as they relate to deadlines. Steven proceeded down the list of activities outlined in the spreadsheet had prepared and sent to Valerie and others on Monday, July 24. He confirmed the status of various contracts or IAAs – most of which are either at PSC and out for bid or pending the exercise of an option year. He also reviewed which grant funds had been awarded and asked about the status of the IDDA with CDC now that it had been approved [without the \$150,000 in previously approved PHS Set Aside funds and with the stipulation that the grant projects are only for a one year project period consistent with the other TPP grant awards for FY 2017]. I noted that I have advised my staff to work full speed ahead on contracting issues so that we are positioned to move forward if our planned contract and IAA activities are approved. I also noted that staff have been responding to questions to PSC from bidders and noted that staff resources/time are being devoted to reviewing capability statements for the OAH logistics contract and that review is due to PSC on Monday.

In response to Steven's question about the CDC IDDA and its status, (b) (6) explained that since there were changes in the IDDA/IAA amounts for CDC, we needed clarification about how to proceed with either obtaining new signature or just initialing changes. I also noted that the administration costs are decreased since it no longer included the \$150K for the one time data collection piece. (b) (6) suggested for internal control purposes, we send an email to CDC noting the changes.

I asked (b) (6) about the timing of other IAAs and she indicated that DoE would accept IAAs as late as September. She asked who we were working with regard to the MAX.gov IAA. I responded that it is with OMB, however, she did not know what deadline OMB has for accepting IAAs.

(b) (6) asked how late I would be working today and did I prefer to get information as it becomes available or wait until Monday. I responded that it would be helpful to have information about decisions as they roll out so that we can process documents accordingly.

I then asked if I could ask a few questions and proceeded to ask some questions based on our last conversation regarding the Research Center Grants. I asked if they would be funded since they were originally slated for July 1 awards. Steven responded that he did anticipate that the grants would be made (b) (5)

(b) (5)

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

I then asked about the \$150K that was previously approved in the OASH Evaluation Set Aside process and what happens to those monies. (b) (6) voice became agitated and he said that I should know the process – that I was part of the review process and that the funds will go to other unfunded projects. He then stated that it's not by business and I should not be weighing in on it. I responded by saying I was curious.

I asked to be updated if any decisions became available in the afternoon. The call concluded at about 10:50 am.

I went to my desk and found an email from (b) (6) scheduler, asking if I was available for a 1:1 meeting with (b) (6). I wrote back to say when I was available and she asked if I was available right then. So, I called (b) (6). Unfortunately, I was so rattled by our previous call, that my reaction when I got on the phone was to cry. I asked if I could call him back; I composed myself and called him back within a few minutes.

He started the call by saying that he guessed he is a little frustrated and asked me to share my frustrations and then he would share his. I mentioned that I was frustrated about the time this process is taking and the fact that OAH has not been part of the discussions. I also expressed my continuing concern about the potential for funds to lapse given we are so late in the fiscal year. In response, he noted that I am a stickler for deadlines and, in that regard, I am an outstanding manager. He acknowledged that I had valid concerns about timing. He also noted that we have to understand that we have new priorities (which I have not been articulated to me), but we have systems we have to work within. He noted that there have been lots of discussions about OAH how monies can be utilized differently and within the statutory language and this process has been slower than expected (b) (6), (b) (5)

[REDACTED]

[REDACTED]

[REDACTED]

He then stated his concern about what the civil service is all about, priorities change, and our job as civil servants is to help them reach their priorities. Changes in priorities can include financial and HR resources. He stated that if they tell me the monies are being used consistent with the statutory authority, I should not be raising questions. He admonished me for asking a question about the planned use of the \$150,000 in PHS Evaluation Set Aside monies and that there are always unfunded projects to which the money can go back to fund other things. I stated that I asked the question out of curiosity to understand the bigger picture.

He reminded me that as a leader, I need to set the tone at the top, and that my office did not seem to be setting the correct tone. When I asked what he was referring to, he mentioned the news article that stated OASH was the decision maker for the shortened grant period (the statement that appeared in the July 14th Reveal article, "Several grantees were told by officials at the Department of Health and Human Services' Office of Adolescent Health that the decision to eliminate funding came from the office of the assistant secretary for health." I reminded him that OAH were not aware of the grant action until the last minute and staff were provided with the official talking points on July 13 at an OAH All Hands meeting). I asked him if there were other issues that I should be aware of. He again mentioned the tone taken by two of my senior staff at a meeting they attended while I was on leave in late June. He reminded him that I have spoken with both

individuals about his unhappiness with what he perceived as inappropriate behavior. He then told me that (b) (6)] had come to him with the e-mail I sent her with regard the statement made by an HHS spokesperson late on Tuesday evening, July 25 regarding the TPP evaluations. He asked if there was inaccurate information and replied yes – that’s why I had send the email internally to our communications person offering to brief them or others about our evaluation findings. I pulled up my email to Tara and read some of it to him. He then expressed concerns about whether the spokesperson’s information was accurate and wanting to make sure it is accurate. He mentioned he was told ASPE went through our evaluation stuff. I expressed frustration that no one came directly to OAH for information which we thought might have been helpful. He asked if we had evaluation information posted on our website that a member of the public could read and see an inaccuracy. I noted that the lay public probably couldn’t assess the evaluation findings, however, evaluators, researchers and program people in the field would know that information. He said he would get a copy of the email from Tara [seems she mentioned it, but didn’t share the content with him]. In the meantime at 12:15 pm, I forwarded a copy to him. He responded by email at 12:49 asking what our definition is of “lasting positive impact.” I responded to that email at about 4:30 with further clarification.

He also stated that when he came to visit OAH earlier this week (Tuesday, July 25), (b) (6) noticed and mentioned to him that while he was talking about what it means to be a civil servant there were looks on the staff faces. I stated that I was looking at him and wasn’t focused on others so asked what specifically he was referring to. He said that there was rolling of eyes by some staff. He then admonished me that I have to set the tone about what it means to be a civil servant. He started to end the call by saying that he was here to support me, but my questions were out of bounds, especially the question about the use of the PHS Eval Set Aside monies. He stated that it is not appropriate to ask questions about the use of these funds as long as it is legal. He asked why I was asking those questions and what would with that information? I responded that I was trying to understand the priorities and the redirection, and explained that OAH has subject matter expertise and resources that could possibly have been used internally to refocus or shift if we knew the priorities and were engaged in the conversation.

He asked about where I was 8 years ago with transition from the CBAE grants to the new TPP grants. He reminded me that the TPP monies came from the CBAE funding being redirected and the current circumstances are not that different from 8 years ago. I reminded him that the CBAE grants were not ended until after Congressional action made it clear there was a lack of appropriation. He then asked how he can be an advocate focusing on 2018, as 2017 was already done. I said it was difficult to manage in the absence of information or an opportunity to understand how OAH resources and talent could be utilized. He expressed concern about the 2018 budget and making sure evaluations are put toward the new Administration’s priorities. So, he would like to set up a meeting to have some honest dialogue and will pull me in on the FY 18 budget related to adolescent health. He mentioned did a similar process for OPA which seemed to have positive outcomes. He concluded by saying that he doesn’t want this to affect our good working relationship. He stated that he valued me and that I do good work. And, that he will do everything he can to get me an answer about the 2017 funding issues.

Evelyn Kappeler

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From: Kappeler, Evelyn (HHS/OASH)
To: [Valentine, Steven \(HHS/IOS\)](#)
Cc: ["Bettencourt, Alice \(HHS/OASH\)"; Wright, Don \(HHS/OASH\); Blakey, Carter R \(HHS/OASH\)](#)
Subject: RE: FY 2017 OAH FOAs and Continuation Grants - INFORMATION
Date: Monday, May 01, 2017 10:56:00 AM
Attachments: [image002.png](#)
[image003.png](#)

Hi Steven,

With regard to continuation awards, those applications (for the teen pregnancy prevention program and the SMAIF project) were due to OAH on April 7 and are currently undergoing internal review by OAH staff. Objective Review Committees are only used for initial competitive grants and not for continuation awards. The technical reviews from staff are due by May 16 to be uploaded into the electronic grants systems, Grantsolutions for the continuation awards that start July 1.

At present, we have two Objective Review Committees underway which are operating according to the planned schedule for a July 1 award of new grants. The review process is a centralized function managed by the OASH Office of Grants Management via a contract through an agreement with the Administration for Child and Families (ACF). The contractor has already contracted with reviewers who are working according to the schedules described below.

The Pregnancy Assistance Fund (PAF) Objective Review Committee (ORC) is underway. Included below is a timeline of where we're at with the review:

- Thursday, April 13th – OAH, OGM and contractor hosted a training webinar for reviewers
- Monday, April 17th – Reviewers received all applications to review and the Grantsolutions Applications Module(ARM) was opened for them to begin entering comments
- April 17th – May 17th – Review period
- May 18-19th – The PAF ORC Review panels are scheduled to meet via conference call to finalize all comments and scores

The Research Centers Objective Review is also underway. Below is the timeline we've been operating from:

- Thursday, April 6th – OAH, OGM and contractor hosted a training webinar for reviewers
- Wednesday, April 12th – Reviewers received all applications to review and the ARM system was opened for reviewers to begin entering comments
- April 12th - May 2nd – Review period
- May 4th – 5th – The ORC Review panels are scheduled to meet via conference call to finalize all comments and scores. We are on schedule with the ARM to close tomorrow and for the panels to discuss their comments this Thursday and Friday.

I have looped in Alice in OASH OGM to assist with your request for a list of reviewers by each grant. I have also looped in Dr. Wright and Carter for their awareness.

Please let me know if you have any additional questions.

Thanks,

Evelyn

From: Valentine, Steven (HHS/IOS)
Sent: Friday, April 28, 2017 5:16 PM
To: Kappeler, Evelyn (HHS/OASH)
Subject: RE: FY 2017 OAH FOAs and Continuation Grants - INFORMATION

Evelyn,

Thanks again for providing us with these documents.

Following up – for the FY17 Teen Pregnancy Prevention Program grants (both continuation and competitive), have grant reviewers been selected?

If so, could you please provide a list of the reviewers for each grant?

In the meantime, the reviewers (if already selected) should not be contacted until clearance has been given by the policy team.

Thank you and please don't hesitate to reach out with any questions. We can touch base after the weekend if need be.

Steven

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From: Kappeler, Evelyn (HHS/OASH)
Sent: Tuesday, April 11, 2017 11:27 AM
To: Wright, Don (HHS/OASH)
Cc: Valentine, Steven (HHS/IOS); Kappeler, Evelyn (HHS/OASH)
Subject: FY 2017 OAH FOAs and Continuation Grants - INFORMATION

Dr. Wright,

In follow up to our conversation yesterday and in preparation for the briefing with the Policy Team on Friday, attached are two summary tables with information about OAH's FY 2017 Funding Opportunity Announcements (FOA) and our continuations grants for this fiscal year.

I hope this is helpful. If you or Steven have questions or need additional information, please let me

know.

Thanks,

Evelyn

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